

**COMPUTER MATCHING AGREEMENT  
BETWEEN THE  
SOCIAL SECURITY ADMINISTRATION  
AND THE  
BUREAU OF THE FISCAL SERVICE  
DEPARTMENT OF THE TREASURY  
(Match #1038)**

**I. Purpose**

This computer matching agreement (agreement) sets forth the terms, conditions, safeguards, and procedures under which the Bureau of the Fiscal Service (Fiscal Service), Department of the Treasury (Treasury) will disclose savings security data (as described in section VI.D) to the Social Security Administration (SSA). SSA will use the data to determine continued eligibility for Supplemental Security Income (SSI) applicants and recipients, or the correct benefit amount for recipients and deemors who did not report or incorrectly reported ownership of savings securities.

**II. Legal Authority**

This agreement is executed under the Privacy Act of 1974, 5 U.S.C. § 552a, as amended by the Computer Matching and Privacy Protection Act of 1988, as amended, and the regulations and guidance promulgated thereunder.

The legal authority for SSA to conduct this matching activity is contained in sections 1631(e)(1)(B), and (f) of the Social Security Act (Act), (42 U.S.C. 1383(e)(1)(B), and (f)).

**III. Definitions**

1. "Supplemental Security Income (SSI)" means the Federal program for aged, blind, and disabled individuals with income and resources below levels established by law and regulations under Title XVI of the Act.
2. "Savings securities" and "savings-type securities" mean Series E, EE, or I United States Savings Bonds.
3. "Savings security alerts" means documents generated for matched records in which the total redemption amount for individuals on the record equals or exceeds \$1,000.
4. "Definitive Records" means the actual securities issued in engraved or printed (paper/card) physical form; sometimes called "live" bonds.
5. "Book Entry Records" means a security maintained as computer records (rather than in paper form) on the records of a bank or Fiscal Service.

6. "Return Date of the Finder File" means the year and month, which is used to calculate the redemption value of securities on the finder file.
7. "Deemor" means an individual whose income and resources are subject to deeming, including ineligible parents, sponsors of aliens, ineligible spouses, and essential persons.

#### **IV. Responsibilities of the Parties**

##### **A. SSA Responsibilities**

1. The responsible component for the agreement is the Office of Privacy and Disclosure. The Office of Income Security Programs is the sponsoring component for the match.
2. SSA will provide Congress and the Office of Management and Budget (OMB) with notice of this match and will publish the required matching notice in the Federal Register.
3. SSA will provide Fiscal Service with a finder file containing the Social Security numbers (SSN) and name of each individual for whom SSA requests savings security information.
4. SSA will use Fiscal Service's data to identify SSI applicants, recipients, and deemors who did not report, or incorrectly reported, ownership of savings securities. SSA will use this information to determine the eligibility and/or benefit amounts for SSI applicants, recipients, and deemors.

##### **B. Fiscal Service Responsibilities**

1. The responsible component for Fiscal Service is the Treasury Securities Services.
2. Fiscal Service will process the SSA finder file against Fiscal Service's savings-type securities- --Series E, EE, and I - --registration systems and produce a response file for SSA use. The response file will contain the matched SSN of SSI applicants or recipients and deemors and their savings security information as listed in section VI.D.

#### **V. Justification and Anticipated Results**

##### **A. Justification**

The Act limits the amount of income and resources a recipient can have to become and remain eligible for SSI. Section 1631(e)(1)(B) of the Act requires verification of applicant and recipient declarations as to SSI eligibility factors with independent or

collateral sources. Section 1631(f) of the Act requires Federal agencies to provide SSA with such information as necessary to determine SSI eligibility or benefit amount or to verify other information related to these determinations. SSA and Fiscal Service determined that a computer matching program is the most efficient and comprehensive method of collecting and processing the income and resource information needed by SSA under the Act. No other administrative activity could accomplish the same purpose with the same degree of efficiency.

#### B. Anticipated Results

The potential benefits of this agreement include the correction of those cases where there is a retroactive overpayment detected and recovered, a decrease in the recurring monthly payment amount, and increased prevention of future overpayments.

1. Based on previous matching activity, as described in the cost/benefit analysis (see Attachment), this matching operation benefits the United States Treasury by correcting those cases where there is a decrease in the monthly payment amount and the recovering of detected overpayments, which total \$4,359,023. The cost of this matching operation was \$896,702. The benefit to cost ratio is 4.86:1.
2. SSA saves approximately \$2,756,040 in future monthly payments based on the development of match alerts resulting in SSI recipients being placed in nonpayment status.
3. Fiscal Service does not expect any savings to accrue to Fiscal Service, directly or indirectly, because of this matching program.

### **VI. Description of Matched Records**

#### A. Systems of Records

The relevant SSA system of records (SOR) is "Supplemental Security Income Record and Special Veterans Benefits, Social Security Administration," 60-0103. The SOR Notice (SORN) was fully published on January 11, 2006 at 71 Fed. Reg. 1830 and updated on December 10, 2007 at 72 Fed. Reg. 69723.

The relevant Fiscal Service SORs are Treasury/BPD.002, United States Savings Type Securities, and Treasury/BPD.008, Retail Treasury Securities Access Application. The SORNs were last published on August 17, 2011 at 76 Fed. Reg. 51128.

#### B. Number of Records

1. The finder file SSA provides to Fiscal Service will contain approximately 10 million records of individuals for whom SSA requests data for the administration of the SSI program.

2. Fiscal Service will use files that contain approximately 185 million SSNs, with registration indexes, to match SSA records.
3. Fiscal Service will provide a response record providing matched results to SSA, which will contain approximately 1 million records.

C. Frequency of Matching

Exchanges for this computer matching program will occur twice a year, in approximately February and August.

D. Specified Data Elements for Definitive Records from Treasury/BPD.002

1. SSA will furnish Fiscal Service with the finder file containing the SSN and name for each individual when requesting savings- securities registration information.
2. When a match occurs on an SSN, Fiscal Service will disclose the following to SSA:
  - a. The denomination of the security;
  - b. The serial number;
  - c. The series;
  - d. The issue date of the security;
  - e. The current redemption value; and
  - f. The return date of the finder file.

E. Specified Data Elements for Book Entry Records from Treasury/BPD.008

1. SSA will furnish Fiscal Service with the finder file containing the SSN and name for each individual when requesting savings-securities registration information. The finder file will contain the SSN associated with the account and report account holdings.
2. When a match occurs on an SSN, Fiscal Service will disclose the following to SSA:
  - a. The purchase amount;
  - b. The account number and confirmation number;
  - c. The series;

- d. The issue date of the security;
- e. The current redemption value; and
- f. The return date of the finder file.

**VII. Accuracy Assessments**

Fiscal Service is unable to validate that SSNs on its registration records belong to the first named owners of savings securities. Therefore, SSA will follow verification procedures described in this agreement. Based on internal consistency checks, the data in SSA's finder files are estimated to be 100 percent accurate.

**VIII. Procedure for Individualized Notice**

SSA will provide direct notice, in writing, to all applicants at the time of application, and to all current recipients and deeming individuals periodically at the time of the redetermination of eligibility for SSI or at least once during the life of the match, that their records are subject to matching against those of other agencies to verify their eligibility or payment amount. SSA will also publish a notice of this matching program in the Federal Register in accordance with the requirements of the Privacy Act and OMB guidelines.

**IX. Verification Procedure and Opportunity to Contest**

- A. In the event of a match under this program, SSA will follow the verification procedures described below (in accordance with SSA operating procedures):
  - 1. SSA will generate a savings security alert for each individual for whom Fiscal Service reports a total redemption amount that equals or exceeds \$1,000.
  - 2. SSA will contact each individual for whom SSA generates an alert to confirm ownership.
  - 3. If the individual confirms ownership, SSA will determine whether the individual remains eligible for SSI.
  - 4. If the individual denies ownership, SSA will question the individual to determine whether the security was purchased as a gift using his or her (the applicant's or recipient's) SSN in the registration.
  - 5. If all attempts to resolve the issue of ownership fail, SSA will obtain a signed authorization from the individual for release of Fiscal Service's records and will contact Fiscal Service through the parallel SSA field office in Parkersburg, West

Virginia. SSA will advise other field offices not to contact Fiscal Service directly nor refer individuals to Fiscal Service. Additionally, SSA will ensure that:

- a. The authorization form sent to Fiscal Service bears the individual's original signature as Fiscal Service will not accept photocopies.
  - b. Where a representative payee signs an authorization, SSA will review the records involved and annotate the authorization to indicate whether the signer is authorized to consent to the release of information on behalf of the individual.
  - c. When the individual is deceased, SSA will review the records involved and annotate the authorization to indicate whether the individual is deceased and the signer is a qualified person legally entitled to the information requested.
6. If Fiscal Service's records indicate that the individual's name appears on the security, Fiscal Service will provide a copy of the record. SSA will attempt to verify the retroactive security values and will determine the individual's retroactive and continued eligibility.
  7. If the individual's name is not on Fiscal Service's records, Fiscal Service will indicate that it has no record for the individual. SSA will take no further action with respect to security ownership.
  8. SSA will not release copies of the savings security alert to individuals unless Fiscal Service or the SSI recipient has verified ownership.
- B. Before taking any adverse action to deny, reduce, suspend, or terminate an individual's SSI payment based on the information received from the match, SSA will provide all individuals for whom SSA decides such adverse action is necessary with a notice containing the following information:
1. SSA has received information pertaining to ownership of savings securities which indicates that the proposed adverse action is necessary; and
  2. The individual has 10 calendar days after receiving the notice to contact SSA and contest the proposed adverse action.

This notice will clearly state that, unless the individual notifies SSA otherwise within the time period specified, SSA will conclude that the data provided by Fiscal Service is correct and will make the necessary adjustment to the individual's payment.

Once the issue of ownership is resolved, SSA will post the search results to its records. Subsequent alerts will not require SSA to request a duplicate search from Fiscal Service unless the alert lists additional securities, and then only the new securities would require a search.

- C. If an individual contests the proposed action, the issues of entitlement to or amount of SSI will be resolved between SSA and the individual in accordance with section IX A.5-A.7 of this agreement and SSA's established regulations and procedures.

**X. Procedures for Retention and Timely Destruction of Identifiable Records**

SSA and Fiscal Service will retain the electronic files received from the other agency only for the period of time required for any processing related to the matching program and will then destroy them by means of electronic erasure. SSA and Fiscal Service may need to retain some information on particular individuals generated through this matching program, in order to meet evidentiary requirements. If such retention is warranted, SSA and Fiscal Service will retire identifiable records in accordance with the applicable Federal Records Retention Schedules (44 U.S.C. § 3303a.). SSA and Fiscal Service will not create a separate file or system that consists of information concerning only those individuals who are involved in the specific matching program. Fiscal Service may retain one copy of the information provided to SSA as Fiscal Service's record of disclosure as required by section 552a(c) of the Privacy Act.

**XI. Security Procedures**

SSA and Fiscal Service will comply with the requirements of the Federal Information Security Management Act (FISMA), 44 U.S.C. Chapter 35, Subchapter II, as amended by the Federal Information Security Modernization Act of 2014 (Pub. L. 113-283); related OMB circulars and memoranda, such as Circular A-130, Managing of Federal Information as a Strategic Resource (July 28, 2016); National Institute of Standards and Technology (NIST) directives; and the Federal Acquisition Regulations, including any applicable amendments published after the effective date of this agreement. These laws, directives, and regulations include requirements for safeguarding Federal information systems and personally identifiable information (PII) used in Federal Agency business processes, as well as related reporting requirements. SSA and Fiscal Service recognize and will implement the laws, regulations, NIST standards, and OMB directives including those published subsequent to the effective date of this agreement.

FISMA requirements apply to all Federal contractors, organizations, or entities that possess or use Federal information, or that operate, use, or have access to Federal information systems on behalf of an agency. Both agencies are responsible for oversight and compliance of their contractors and agents.

**A. Incident Reporting**

If either SSA or Fiscal Service experiences an incident involving the loss or breach of PII provided by SSA or Fiscal Service under the terms of this agreement, they will follow the incident reporting guidelines issued by OMB. In the event of a reportable incident under OMB guidance involving PII, the agency experiencing the event is responsible for following its established procedures, including notification to the proper



organizations (e.g., United States Computer Emergency Readiness Team). In addition, the agency experiencing the incident (e.g., electronic or paper) will notify the other agency's Systems Security Contact named in this agreement. If Fiscal Service is unable to speak with the SSA Systems Security Contact within one hour or if for some other reason notifying the SSA Systems Security Contact is not practicable (e.g., it is outside of the normal business hours), Fiscal Service will call SSA's National Network Service Center toll free at 1-877-697-4889. If SSA is unable to speak with Fiscal Service's Systems Security Contact within one hour, SSA will contact the Fiscal Service IT Service Desk at (304) 480-7777.

#### B. Breach Notification

SSA and Fiscal Service will follow PII breach notification policies and related procedures issued by OMB. If the agency that experienced the breach determines that the risk of harm requires notification to affected individuals or other remedies, that agency will carry out these remedies without cost to the other agency.

#### C. Administrative Safeguards

SSA and Fiscal Service will restrict access to the data matched and to any data created by the match to only those authorized users, e.g., employees and contractors, who need it to perform their official duties in connection with the uses of the data authorized in this agreement. Further, SSA and Fiscal Service will advise all personnel who will have access to the data matched and to any data created by the match of the confidential nature of the data, the safeguards required to protect the data, and the civil and criminal sanctions for noncompliance contained in the applicable Federal laws.

#### D. Physical Safeguards

SSA and Fiscal Service will store the data matched and any data created by the match in an area that is physically and technologically secure from access by unauthorized persons at all times. Only authorized personnel will transport the data matched and any data created by the match. SSA and Fiscal Service will establish appropriate safeguards for such data, as determined by a risk-based assessment of the circumstances involved.

#### E. Technical Safeguards

SSA and Fiscal Service will process the data matched and any data created by the match under the immediate supervision and control of authorized personnel in a manner that will protect the confidentiality of the data, so that unauthorized persons cannot retrieve any data by computer, remote terminal, or other means. Systems personnel must enter personal identification numbers when accessing data on the agencies' systems. SSA and Fiscal Service will strictly limit authorization to those



electronic data areas necessary for the authorized analyst to perform his or her official duties.

F. Application of Policies and Procedures

SSA and Fiscal Service will adopt policies and procedures to ensure that each agency uses the information contained in their respective records or obtained from each other solely as provided in this agreement. SSA and Fiscal Service will comply with these guidelines and any subsequent revisions.

G. Onsite Inspection

SSA and Fiscal Service have the right to monitor the other party's compliance with FISMA and OMB requirements and to make onsite inspections of the other party for purposes of auditing compliance, if necessary, during the lifetime or any extension of this agreement. If either party elects to complete an onsite inspection, the auditing agency will provide the other advanced written notice of any onsite inspection and the parties will set a mutually agreeable date for such inspection.

**XII. Records Usage, Duplication and Redisclosure Restrictions**

Both SSA and Fiscal Service will adhere to the following limitations on the use, duplication, and redisclosure of the electronic files and data provided by the other agency under this agreement:

- A. The files provided by both agencies as part of the matching program will remain the property of the providing agency (see section X above regarding retention and timely destruction of records).
- B. The data supplied by Fiscal Service and the records created by the match will be used only for the purposes described in this agreement.
- C. Neither SSA nor Fiscal Service will extract information from the file provided by the other agency for any purpose not specified in this agreement. Other than for purposes of this matching program, neither SSA nor Fiscal Service will create a separate file or system that consists of information concerning only those individuals who are involved in the specific matching program.
- D. Neither SSA nor Fiscal Service will duplicate or disseminate the data, within or outside their respective agencies, without the written permission of the other agency. SSA and Fiscal Service will not give such permission unless the law requires disclosure or the disclosure is essential to the matching program. For such permission, the agency requesting permission must specify in writing: (1) what data it is requesting be duplicated or disseminated; (2) to whom the data is being duplicated or disseminated; and (3) the reasons that justify such duplication or dissemination.

### **XIII. Comptroller General Access**

The Government Accountability Office (Comptroller General) may have access to all Fiscal Service and SSA records, it deems necessary, in order to monitor or verify compliance with this agreement.

### **XIV. Reimbursement**

All work performed by Fiscal Service in accordance with this agreement will be performed on a reimbursable basis. On or before October 1 of each fiscal year (FY) in which this agreement is in effect, SSA and Fiscal Service will enter into an interagency agreement (IAA), comprised of Form SSA-429 (Interagency Agreement Data Sheet) and FMS Form 7600A and 7600B, to obligate funds for the work performed under this agreement for that FY.

Under the terms of the IAA, Fiscal Service will bill SSA based on the actual cost of providing data to SSA. Billing will be at least quarterly, and may be monthly during the last quarter of the FY. Actual costs may be higher or lower than the estimate. SSA will transfer funds to Fiscal Service, in the form of progress or periodic payments, on at least a quarterly basis for FY 2018 – FY 2021 to support Fiscal Service’s activities under this agreement. Transfers of funds will be by means of the Intra-Governmental Payment and Collection (IPAC) system. The SSA IAA number should be cited on all IPAC submissions.

At least quarterly, but no later than 30 days after an accountable event, Fiscal Service must provide SSA with a performance report (e.g., billing statement) that details all work performed to date. Additionally, at least quarterly, the parties will reconcile balances related to revenue and expenses for work performed under the agreement.

This agreement does not obligate SSA funds for the performance of the services described in this agreement. Obligation of SSA funds occurs only by execution of Form SSA-429. Moreover, SSA may obligate funds only on an annual basis. Since this agreement spans multiple fiscal years, the parties will prepare and execute a new Form SSA-429 and FMS forms 7600A and 7600B at the beginning of each succeeding fiscal year during which this agreement is in effect. Both parties must sign such forms on or before the commencement of the applicable fiscal year. If actual costs exceed the estimated cost, the parties must sign amended forms to obligate funds for the actual costs incurred. SSA’s obligation of funds for fiscal years beyond the fiscal year for which the parties have signed the applicable Form SSA-429 is subject to the availability of funds.

## **XV. Duration, Modification, and Termination**

### **A. Effective Date**

The effective date of this agreement is December 26, 2018, provided that SSA reported the proposal to re-establish this matching program to the Congressional committees of jurisdiction and OMB in accordance with 5 U.S.C. § 552a(o)(2)(A) and OMB Circular A-108 (December 23, 2016), and SSA published notice of the matching program in the Federal Register in accordance with 5 U.S.C. § 552a(e)(12).

### **B. Duration**

This agreement will be in effect for a period of 18 months.

### **C. Renewal**

The Data Integrity Boards (DIB) of Fiscal Service and SSA may, within 3 months prior to the expiration of this agreement, renew this agreement for a period not to exceed 12 months if Fiscal Service and SSA can certify to their DIBs that:

1. The matching program will be conducted without change; and
2. Fiscal Service and SSA have conducted the matching program in compliance with the original agreement.

If either party does not want to continue this program, it must notify the other party in writing of its intention not to continue at least 90 calendar days before the end of the period of the agreement.

### **D. Modification**

The parties may modify this agreement at any time by a written modification, agreed to by both parties and approved by the DIB of each agency.

### **E. Termination**

The parties may terminate this agreement at any time with the consent of both parties. Either party may unilaterally terminate this agreement upon written notice to the other party, in which case the termination will be effective 90 days after the date of such notice or at a later date specified in the notice.

## **XVI. Integration Clause**

This agreement and the accompanying Form SSA-429, and FMS forms 7600A and 7600B, which are incorporated herein by reference and made a part hereof, constitute the entire agreement of the parties with respect to its subject matter and supersede all other data exchange agreements between the parties that pertain to the disclosure of savings

security information for the purposes described herein. There have been no representations, warranties, or promises made outside of this agreement. This agreement shall take precedence over any other documents that may be in conflict with it.

## **XVII. Disclaimer**

SSA will not accept responsibility for reimbursement of late fees or other costs incurred due to the negligence of the servicing agency in complying with its obligations to third party contractors.

## **XVIII. Dispute Resolution**

Disputes related to this agreement will be resolved in accordance with instructions provided in the Treasury Financial Manual Volume 1, Part 2, Chapter 4700, Appendix 10, *Intragovernmental Transaction Guide*.

## **XIX. Persons to Contact**

A. Bureau of the Fiscal Service contacts are:

Paula Gainer  
Director, Division of Program Support  
Retail Securities Services  
200 Third Street, 5094  
Parkersburg, WV 26101-5312  
Telephone: (304) 480-8497/Fax: (304) 480-7415  
Email: [paula.gainer@fiscal.treasury.gov](mailto:paula.gainer@fiscal.treasury.gov)

Jason Thorn  
Program Analyst  
Division of Program Support  
Retail Securities Services  
Bureau of Fiscal Service  
200 Third Street, 5070A  
Parkersburg, WV 26101-5312  
Telephone: (304) 480-8765/Fax: (304) 480-7415  
Email: [jason.thorn@fiscal.treasury.gov](mailto:jason.thorn@fiscal.treasury.gov)

Larry Coon  
Program Analyst  
Division of Program Support  
Retail Securities Services  
Bureau of Fiscal Service  
200 Third Street, 5069B  
Parkersburg, WV 26101-5312  
Telephone: (304) 480-6609/Fax: (304) 480-7415

Email: [larry.coon@fiscal.treasury.gov](mailto:larry.coon@fiscal.treasury.gov)

Don Menarchek  
Financial Systems Analyst  
Division of Technology Services  
Retail Securities Services  
Bureau of the Fiscal Service  
200 Third Street, 5059A  
Parkersburg, WV 26106-1328  
Telephone: (304) 480-8554/Fax: (304) 480-8575  
Email: [don.menarchek@fiscal.treasury.gov](mailto:don.menarchek@fiscal.treasury.gov)

Privacy Act/Computer Matching Act

David Ambrose  
Chief Privacy Officer  
Bureau of the Fiscal Service  
401 14th Street, SW, Room # 269  
Washington, DC 20227  
Telephone: (202) 874-6488  
Email: [david.ambrose@fiscal.treasury.gov](mailto:david.ambrose@fiscal.treasury.gov)

Stacy Cahill  
Chief Information Security Officer  
Bureau of the Fiscal Service  
Room # 833  
6505 Belcrest Road  
Hyattsville, MD 20782  
Telephone: (202) 874-5083  
Email: [stacy.cahill@fiscal.treasury.gov](mailto:stacy.cahill@fiscal.treasury.gov)

Brian S. Hall  
Senior Privacy Analyst  
Bureau of the Fiscal Service  
Room # 885C  
6505 Belcrest Road  
Hyattsville, MD 20782  
Telephone: (202) 874-5033  
Email: [brian.hall@fiscal.treasury.gov](mailto:brian.hall@fiscal.treasury.gov)

Customer & Project Management

Sally Layfield  
Business & Project Division  
Bureau of the Fiscal Service  
200 Third Street, Room # A5-I

Parkersburg, WV 26106-1328  
Telephone: (304) 480-7228/Fax: (304) 480-7161  
Email: [sally.layfield@fiscal.treasury.gov](mailto:sally.layfield@fiscal.treasury.gov)

Fiscal Service – Systems Security

Fiscal Service IT Service Desk Telephone: (304) 480-7777

B. SSA contacts are:

Matching Programs

Kim Cromwell, Government Information Specialist  
Office of Privacy and Disclosure  
Office of the General Counsel  
Social Security Administration  
6401 Security Boulevard, G-401 WHR  
Baltimore, MD 21235  
Telephone: (410) 966-1392/Fax: (410) 594-0115  
Email: [kim.cromwell@ssa.gov](mailto:kim.cromwell@ssa.gov)

Systems Operations

Michelle Anderson, Branch Chief  
DBIAE/Data Exchange and Verification Branch  
Office of IT Programmatic Business Support  
Office of Systems  
3108 (3-D-1) Robert M. Ball Building  
6401 Security Boulevard,  
Baltimore, MD 21235  
Telephone: (410) 965-5943/Fax: (410) 966-3147  
Email: [michelle.j.anderson@ssa.gov](mailto:michelle.j.anderson@ssa.gov)

Information Security Issues

Jennifer Rutz, Director  
Office of Information Security  
Division of Compliance and Assessments  
Social Security Administration  
Suite 3208 Annex  
6401 Security Boulevard  
Baltimore, MD 21235  
Telephone: (410) 966-8253  
Email: [Jennifer.Rutz@ssa.gov](mailto:Jennifer.Rutz@ssa.gov)

Project Coordinator

Andrea Warren  
Office of Data Exchange  
Office of Data Exchange and Policy Publications  
Office of Retirement and Disability Policy  
Social Security Administration  
6401 Security Boulevard, 4-C-8-A Annex Building  
Baltimore, MD 21235  
Telephone: (410) 966-5642  
Email: [andrea.warren@ssa.gov](mailto:andrea.warren@ssa.gov)

Program and Policy

Scott Logan, Team Lead  
Office of Supplemental Security Income and Program Integrity Policy  
Office of Income Security Programs  
Office of Retirement and Disability Policy  
Social Security Administration  
6401 Security Boulevard, 2-D-20-A Robert M. Ball Building  
Baltimore, MD 21235  
Telephone: (410) 966-5927/Fax: (410) 965-8582  
Email: [scott.logan@ssa.gov](mailto:scott.logan@ssa.gov)

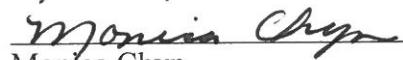


**XX. Authorized Signatures**

The signatories below warrant and represent that they have the competent authority on behalf of their respective agencies to enter into the obligations set forth in this agreement.

**SOCIAL SECURITY ADMINISTRATION**





Monica Chyn

Acting Deputy Executive Director

Office of Privacy and Disclosure

Office of the General Counsel

Date 5-22-2018



Mary Ann Zimmerman

Acting Chair, Data Integrity Board

Date July 10, 2018

**BUREAU OF THE FISCAL SERVICE**

**David J.  
Ambrose**

Digitally signed by David J.  
Ambrose  
Date: 2018.09.17 08:04:21  
-04'00'

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David Ambrose  
Chief Security Officer and  
Chief Privacy Officer

Date \_\_\_\_\_



Ryan A. Law  
c=US, o=U.S. Government, ou=Department  
of the Treasury, ou=Departmental Offices,  
ou=People, serialNumber=716338, cn=Ryan  
A. Law  
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Ryan Law  
Chairperson, Treasury Data Integrity Board  
Deputy Assistant Secretary for Privacy, Transparency, and Records

Date 9/13/2018

**Cost Benefit Analysis (CBA) for the Computer Match Between the  
Bureau of the Fiscal Services (BFS) Savings Securities Registration File  
and the Supplemental Security Record (SSR) (Match #1038)**

Number of "SB" Alerts Completed in FY 2016: 5,144

**Benefits**

**Retroactive Overpayments**

Percent of Records with Retroactive Overpayments	14%
Number of Alerts with Overpayment	720
Average Overpayment	\$3710.61
Total Overpayment (projected) (rounded)	\$2,671,639
Amount of Expected Recovery (60% recovery rate)	<b>\$1,602,983</b>

**Change in Monthly Payment Amount**

Percent of Alerts with Change to Nonpay Status	15%
Number of Alerts	772
Amount of Average Monthly Payment Avoidance	\$595
Total Change in Ongoing Monthly Payment	\$459,340
Projected for 6 months	<b>\$2,756,040</b>

**Total Benefits** **\$4,359,023**

**Costs**

Systems Costs	\$91,200
IAA (Reimbursed BFS Systems Costs and Copies of Bonds)	\$105,245
Field Office (FO) Alert Development Costs	\$650,973
Overpayment Development/Recovery Processing Costs	\$49,284

**Total Costs** **\$896,702**

**Benefit to Cost Ratio** **4.86: 1**